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IAP11 Rec'd PCT/PTO 14 AUG 2006

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
ACTING AS THE DESIGNATED/ELECTED OFFICE**

In re: Patent application of: Malcolm Mainland SINCLAIR  
Sandford Ellis LAX

Application No: Not yet assigned  
(International Appl. No. PCT/GB2005/000482)

Filed: Herewith

For: APPARATUS AND METHOD FOR IDENTIFYING AN OBJECT  
HAVING RANDOMLY DISTRIBUTED IDENTIFICATION  
ELEMENTS

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

The present application is a 35 U.S.C. § 371 of International Application No. PCT/GB2005/000482. Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, submitted herewith in duplicate is a substitute PTO Form 1449 listing the references cited in the Search Report in the international phase.

It is believed that copies of the references have been or will be forwarded by the International Search Authority. The Examiner is encouraged to contact the undersigned if reference copies have not been received.

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Date of Deposit:	August 14, 2006

I hereby certify that this correspondence, along with any paper referred to as being attached or enclosed, and/or fee, is being deposited with the United States Postal Service, "EXPRESS MAIL-POST OFFICE TO ADDRESSEE" service under 37 C.F.R. 1.10, on the date indicated above, and addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

*Karen Spina*  
Signature of person mailing paper:  
KAREN M. SPINA  
Type or print name of person

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**IDENTIFICATION OF TIME OF FILING THIS  
INFORMATION DISCLOSURE STATEMENT**

This Information Disclosure Statement is being filed concurrently with the above-identified patent application. Accordingly, no certification, petition, or fee is required. However, should a fee be due, please charge, or credit any overcharge to, Deposit Account No. 50-0573.

**IDENTIFICATION OF REFERENCES AND  
CONCISE EXPLANATION OF RELEVANCE  
OF REFERENCES NOT IN THE ENGLISH LANGUAGE**

The following references were cited in the Search Report in the corresponding International Patent Application:

**US Patent Documents**

6035914 A  
4114032 A

**Foreign Patent Documents**

DE 10204870 A1  
GB 2324065 A  
FR 2324060 A  
EP 1300810

The references identified below and as part of this Statement were cited in a Search Report issued by the British Patent Office on July 21, 2004 in corresponding United Kingdom Patent Application No. GB0403569.7. A copy of the Search Report and copies of foreign patent documents cited therein, with the exception of GB 2324065 A which is duplicated in the International Search Report, are enclosed herewith.

**US Patent Documents**

6035914 A  
5619025 A  
5354097 A

**Foreign Patent Documents**

GB 2374831 A  
GB 2324065 A  
GB 2304077 A  
WO 03/027951 A1

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The relevance of the above-mentioned foreign documents is set forth in the International Search Report and British Search Report filed concurrently with this Information Disclosure Statement (M.P.E.P. 609A(3)).

Of the references identified on substitute PTO Form 1449, European Patent No. 1165888 has not been cited in either of the Search Reports. A copy of EP 1165888 is provided herewith.

The Examiner is respectfully requested to review the items listed on the substitute PTO Form 1449 and make them of record in the instant application as required by M.P.E.P. §609. It is requested that the Examiner initial the enclosed duplicate substitute PTO Form 1449, and return one copy to the undersigned.

The references listed in this Information Disclosure Statement comprise the most pertinent prior art known to Applicants and their attorneys as of the date hereof. This Information Disclosure Statement should not be construed as a representation that the cited references are material or that no better art exists.

Respectfully submitted,

MALCOLM MAINLAND SINCLAIR *et al.*

BY



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SUBSTITUTE FORM PTO-1449  
 U.S. DEPARTMENT OF COMMERCE  
 U.S. PATENT AND TRADEMARK OFFICE

### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

ATTY. DOCKET NO.  
 36290-0427-00-US (229830)

APPLICATION NUMBER  
 Not yet assigned  
 (I.A. PCT GB2005/000482)

FIRST NAMED INVENTOR

Malcolm Mainland SINCLAIR et al.

FILING DATE  
 Herewith

ART UNIT  
 Not yet assigned

### U.S. PATENT DOCUMENTS

EXAMINER INITIALS	Cite No.	DOCUMENT NUMBER	PUBLICATION DATE (MM/DD/YYYY)	NAME OF PATENEE OR APPLICANT OF CITED DOCUMENT	PAGES, COLUMNS, LINES, WHERE RELEVANT PASSAGES OR RELEVANT FIGURES APPEAR
		Number-Kind Code (if known)			
	A	6035914 A	03/14/2000	RAMSEY et al.	
	B	5619025 A	04/08/1997	HICKMAN et al.	
	C	5354097 A	10/11/1994	TEL	
	D	4114032 A	09/12/1978	BROSOW et al.	

### FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	Cite No.	FOREIGN PATENT DOCUMENT	PUBLICATION DATE (MM/DD/YYYY)	NAME OF PATENEE OR APPLICANT OF CITED DOCUMENT	PAGES, COLUMNS, LINES, WHERE RELEVANT PASSAGES OR RELEVANT FIGURES APPEAR	T
		Country Code-Number- Kind Code (if known)				
	E	DE 10204870 A1	08/14/2003	INFINEON TECHNOLOGIES AG		
	F	EP 1300810 A	04/09/2003	FABRICA NACIONAL DE MONEDA Y TIMBRE		
	G	EP 1165888 B1	03/12/1997	FARRAL		
	H	FR 2324060 A	04/08/1977	DASY INTER SA		
	I	GB 2374831 A	10/30/2002	ALPHAFOX SYSTEMS LIMITED		
	K	GB 2324065 A	10/14/1998	HOWARD et al.		
	J	GB 2304077 A	03/12/1997	FARRAL		
	L	WO03/027951 A1	04/03/2003	OLYMPUS		

### NON PATENT LITERATURE DOCUMENTS

EXAMINER INITIALS	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), Publisher, city and/or country where published	T
EXAMINER SIGNATURE		DATE CONSIDERED	

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered.  
 Include copy of this form with next communication to applicant.